

**DRAFT**

**BOARD OF VISITORS  
AUDIT, RISK AND COMPLIANCE COMMITTEE MEETING  
May 7, 2026**

**MINUTES**

**1. Call to Order/Establish Quorum**

Mr. Edward Sanders, Chair, called the Audit, Risk and Compliance Committee meeting to order at approximately 2:13 p.m. A quorum was established with a 3-0 roll call.

**Committee Members - Present**

Mr. Edward Sanders, Chair  
Dr. Michael Thornton  
Dr. Harold Watkins, II

**Committee Members - Absent**

Mrs. Heidi Abbott

**NSU Administrators and Staff**

Dr. Javaune Adams-Gaston, President  
Ms. Pamela F. Boston, University Counsel and Senior Assistant Attorney General  
Mrs. Derika L. Burgess, Chief Audit Executive  
Dr. Leonard E. Brown Jr., Vice President for Student Affairs  
Dr. Gerald Ellsworth Hunter, Vice President/CFO, Finance and Administration  
Dr. Justin L. Moses, Vice President for Operations & Chief Strategist  
Mr. Clifford Porter, Vice President for University Advancement  
Dr. Melody Webb, Athletics Director  
Dr. Tanya S. White, Vice President/Chief of Staff  
Dr. Aurelia Williams, Interim Provost and Vice President for Academic Affairs  
Dr. Melissa Barnes, Interim Associate Vice President for Enrollment Management  
Mr. Gregory Baptiste, Interim Chief Information Officer/Dir. Enterprise Applications  
Mr. Dennis Jones, Executive Budget Director to Office of Planning & Budget  
Mr. Ronald King, Chief Information Security Officer/Director of IT Security  
Ms. Erica Saunders, Executive Assistant, Provost Office and Academic Affairs  
Dr. James Walke, Associate Vice President for Institutional Effectiveness and Planning  
Mrs. Sharea' Williams, Executive Assistant/Operations and Institutional Effectiveness  
Mr. Terry G. Woodhouse, Interim Associate Vice President for Facilities Management  
Mr. Christopher Gregory, Office of Information Technology Media Specialist  
Ms. Sher're S. Dozier, Clerk to the University President/Liaison to the Board of Visitors  
Ms. Phillita Peoples, Executive Assistant to Internal Audit and Compliance

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### 2. Approval of the Minutes

Dr. Harold Watkins, II motioned, Dr. Michael Thornton seconded, and the Committee unanimously approved the Audit, Risk and Compliance Committee meeting minutes for March 5, 2025.

### 3. Discussion Items

#### Internal Audit

CAE Burgess provided a progress report on FY2026 audit plan, State of Internal Audit, and 2025-2026 Higher Education legislation updates.

#### **FY2026 Audit Plan | Update**

Mrs. Burgess reported that the FY2026 audit plan is proceeding on schedule.

- The audit work for Maxient (an application for submitting and tracking incident reports related to student conduct, sexual harassment, and misconduct) is complete.
- Audits of SoftDocs (electronic document management platform) and MediCAT (sports medicine platform) are currently in progress as part of the Commonwealth's required three-year review cycle for sensitive systems.
- A special OIA project on university enrollment reporting is underway to ensure alignment with Auditor of Public Accounts requirements.

#### **State of Internal Audit**

- The Chief Audit Executive continues to lead the audit function with support from internal staff and co-sourced partners. The CAE is under oversight from the Virginia Office of the State Inspector General while reporting functionally to the Board and administratively to the President.
- Key audit activity updates include:
  - **Operational Audits:** 2 planned / 2 completed
  - **Financial Audits:** 1 planned / 0 completed
  - **IT Audits:** 3 planned / 2 completed
  - **Fraud, Waste, and Abuse Reviews:** 4 conducted
  - **Consulting and Monitoring:** 4 planned / 3 completed

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- The FY26 departmental goals were achieved in the following areas:
  - Staff Certifications
  - Knowledge Inventory
  - Consultations
  - Training
  - Data Tools

### **State Regulatory Risk Management | Higher Education 2025**

- Mrs. Burgess reported that the university reviewed 32 state legislative and regulatory developments affecting higher education during the 2025-2026 period, each with implications for institutional compliance, reporting, and operations.
- The high priority legislative updates include:
  - Requirement to live stream and archive Board meetings (pending re-enactment July 1, 2026)
  - Mandatory reporting on military survivors and dependent education programs
  - Expanded mental health and safety training requirements for students and staff

### **State Regulatory Risk Management or Compliance | Scope**

- The new or revised legislative with the greatest impact: Student Affairs and Student Support, Academic and Instructional Programs, and Compliance and Reporting/Oversight. The areas with minimal impact include Procurement and Contracting, Technology and Digital Accessibility, Human Resources and Personnel, Campus Safety and Health, as well as Research and Environmental Health.
- The implementation view illustrates how requirements are distributed across key functional areas, as well as how activities are categorized between monitoring, policy updates, and operational implementation.

### **State Regulatory Risk Management | 2025**

- A matrix was presented that outlined the most critical compliance areas in the 2025-2026 legislative cycle categorizing by risk and level of impact.

#### **HIGH RISK**

- **High Impact | Board Reporting & Transparency**  
**HB2452** – Requires livestreaming, recording, and archiving of BOV and committee meetings by July 1, 2026. (Subj. to 2026 Reenactment)
- **Moderate Impact | Student Health & Safety**  
**SB1018** – Requires SNAP eligibility information to be distributed to students annually and included in orientation materials.

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**SB1257** – Requires resident assistants to complete opioid antagonist administration training.

o **Lower Impact | Technology/Digital Accessibility**

**HB2541** – Updates the Information Technology Access Act, requiring designation of a digital accessibility coordinator and phased implementation based on institution size.

### MEDIUM RISK

o **High Impact | Student Records & Privacy**

**SB846** – Clarifies parental access to minors' academic and health records via secure websites.

o **Moderate Impact | Student Financial Assistance**

**HB1694 / HB1613** - Requires annual statewide reporting on Virginia Military Survivors and Dependents Education Program eligibility and usage.

**HB1595 / SB1106** - Modifies eligibility and administrative requirements for the Virginia National Guard State Tuition Assistance Program.

**SB961** - Expands annual reporting on eligibility and enrollment in the Military Survivors and Dependents Education Program.

o **Lower Impact | Procurement & Contracting**

**HB2751** - Prohibits discrimination against military family-owned businesses in public procurement and requires participation-support programs.

**HB2024 / SB1165** - Prevents procurement exclusions of qualifying solar-related materials meeting EPA standards.

### LOW RISK

o **High Impact | Human Resources & Personnel**

**SB1014** – Prohibits requiring a bachelor's degree for most state positions, with limited exceptions.

**HB1815 / SB1142** – Extends Line of Duty Act benefits to eligible campus and private police officers at contributing nonprofit institutions.

o **Moderate Impact | Academic & Instructional Programs**

**HB2018 / SB879** – Expands alternative licensure pathways for career and technical education teachers.

**HB2103** - Requires Board of Education to credit certain occupational work experience toward teacher salary placement.

o **Lower Impact | Research & Environmental**

**HB2517** - Exempts university-owned land research projects from sewage sludge permitting, with notice and recordkeeping requirements.

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### University Compliance

Chief Audit Executive Burgess presented Compliance Partners updates along with Q3 compliance activities.

### **Compliance Partners | Update**

- University Compliance continues to serve and support compliance partners within the the areas of Student Affairs, Environmental, Health & Safety, Operations and Institutional Effectiveness, as well as Research and Innovation.
- Key compliance activities updates include:
  - International Students administrative support staff
  - Energy and Water Conservation Plan
  - Support Chemical Hygiene Plan development and review
  - Risk, Compliance, and Ethics Summit

### **Compliance Activities | Submissions**

- In the Q3 reporting period the university monitored 434 compliance obligations and achieved a 96% on-time performance rate.

#### **4. Closed Meeting – Pursuant to 2.2-3711(A) 1, 7, and 8 of the Code of Virginia of the Code of Virginia**

Dr. Harold Watkins II read the following motion, seconded by Dr. Michael Thornton, and with a 3-0 Roll Call Vote the Board unanimously approved.

Motion by Dr. Harold Watkins, II.

**Pursuant to Section 2.2-3711(A) 1, 7, and 8 of the Code of Virginia, for the following purposes, pursuant to the noted subsections:**

**(1):** To discuss personnel matters, including more specifically personnel matters regarding the evaluation of performance of specific university departments where such evaluation will necessarily including more specifically the performance and assessment concerning Auditor of Public Accounts activities of specific departments and operational vulnerabilities so as to inform the committee members and the President of outstanding corrective actions/closure activities; and

**(7) and (8):** Consultation with legal counsel regarding specific matters requiring the provision of legal advice pertaining to actual or probable litigation, where such consultation

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or briefing in open meeting would adversely affect the negotiating or litigating posture of the university, including more specifically for legal counsel to give a status update on pending and potential litigation of which the Board should be made aware; along with any necessary consultation with legal counsel regarding matters noted in this motion; and that any member of the NSU Board of Visitors be permitted to attend virtually, or by phone to participate in the Closed Meeting;

and that any non-committee member of the NSU Board of Visitors be permitted to attend virtually, in person or by phone, to listen in the Closed Meeting; but not participate or vote;

**and further, that the following remain for or attend when called the Closed Meeting.**

the President

University Council

Chief Strategist for Operations & Vice President for Institutional Effectiveness

Vice President for Finance and Administration

Vice President and Chief of Staff

Chief Audit Executive

Interim Associate Vice President for Enrollment Management & Special Advisor

Chief Audit Executive, Internal Audit

### **5. Reconvene Meeting**

Mr. Blake read the following motion, and with a 3-0 Roll Call Vote the Board unanimously approved.

Motion by Mr. Dwayne B. Blake

Having reconvened in open session, we will now take a roll call vote on certification that (1) only public business matters lawfully exempted from open meeting requirements, and (2) only such public business matters as were identified in the motion by which the closed meeting was convened were heard, discussed or considered in the meeting by the Board. Any member of the Board who believes that there was a departure from the requirements as stated above, shall so state prior to the vote, indicating the substance of the departure that in his, or her judgment, has taken place.

### **6. Public Comment**

No public comments were made at this meeting.

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**8. Adjournment**

There being no further business, Mr. Edward Sanders, adjourned the meeting at 3:22 p.m.

Respectfully submitted,

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Mr. Edward Sanders, Chair  
Audit, Risk and Compliance Committee

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Mrs. Phillita M. Peeples, Executive  
Assistant to Audit and Compliance

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**NSU BOV AUDIT, RISK AND COMPLIANCE COMMITTEE**

**ROLL CALL VOTE**

**MAY 7, 2026**

<b>Item</b>	<b>Mr. Edward Sanders  (Chair)</b>	<b>Mrs. Heidi Abbott  (Absent)</b>	<b>Dr. Michael Thornton</b>	<b>Dr. Harold Watkins, II</b>	<b>Totals</b>
<b>Quorum</b>	Yes	A	Yes	Yes	3-0
<b>Approval of the May 7, 2026 Minutes</b>	Yes	A	Yes	Yes	3-0
<b>Motion to go into Closed Meeting Pursuant to 2.2- 3711(A) 1, 7, and 8 of the Code of Virginia</b>	Yes	A	Yes	Yes	3-0
<b>Motion to Reconvene Meeting</b>	Yes	A	Yes	Yes	3-0
<b>Adjournment</b>	Yes	A	Yes	Yes	3-0