

ANH D. NGO

Norfolk State
University School of
Business
700 Park Avenue
Norfolk, VA 23504

Phone: (757) 278-2622
Email: adngo@nsu.edu
Office: Brown Memorial Hall
Suite#304.30

Education

- Ph.D., University of Texas at El Paso, 2013
- MBA, University of New Orleans, 2004
- BSc., National Economics University, 1998

Academic Positions

- Norfolk State University (USA), 2025-current
Position/Rank: Professor of Finance
- Norfolk State University (USA), 2019-2025current
Position/Rank: Associate Professor of Finance
- Norfolk State University (USA), 2015-2019
Position/Rank: Assistant Professor of Finance
- Wright State University (USA), 2013-2014
Position/Rank: Visiting Assistant Professor of Finance
- University of Texas at El Paso (USA), 2008-2013
Position/Rank: Instructor of Economics and Finance

Honors and Grant Awards

- Best Research Paper Award (Phenikaa University's Business and Economics Conference, Co-organized with the University of the West of England, and Trier University), 2025
- The VIVA Open Adopt Grant Award (The Academic Library Consortium of Virginia), 2024-2025
- The Center of Teaching and Learning OER Enhancement Award, Norfolk State University, 2024
- The U.S. Congress Center for Int'l Business Education and Research (CIBER) Grant Award, 2022
- Nominated for the University's Distinguished Faculty Award (Scholarship category), 2021

- The Summer Research Grant Awards (The Office of the Provost, NSU, 2016, 2018, 2022).
- The TMCf-ACUE Excellence in Online Instruction Initiative Grant (The NSU Center for Teaching and Learning, 2021-2022)
- The School of Business Research Award, 2021
- The Faculty Development Award (The Office of Extended Learning, NSU), 2019
- Paul L. Foster and Alejandra de la Vega Foster Doctoral Fellowship for Graduate Student Excellence in Research, 2013
- The Frank B. Cotton Trust Scholarship for Graduate Student Excellence in Research, 2013
- Southwestern Finance Association (SWFA) Doctoral Travel Awards, 2012 and 2013
- American Finance Association (AFA) Doctoral Travel Award, 2011

Teaching Faculty Development

- The TMCf-ACUE Excellence in Online Instruction Initiative Grant (The Center for Teaching and Learning), 2021-2022
- Faculty Certification Program for Teaching Distance Education Courses, NSU, 2014-2015
- NSU Blackboard Learning Management System Training Boot Camp, 2015.
- Graduate Student Teacher Training Program, University of Texas at El Paso, 2011
- The Faculty Development Award (The Office of Extended Learning, NSU), 2019

Academic Publications

1. **Ngo, Anh, Lewis, Thomas, and Carl McGowan (2024), “Uncertain Tone and SEO Underpricing: Do Financial Constraints Matter?”, *Journal of Corporate Accounting and Finance*, Volume 35 (3), pp.277-288.**
2. **Ngo, Anh (2023), “Firm-Level Political Risk and Earnings Smoothing,” *Journal of International Finance and Economics*, Volume 23, Issue 4, pp.92-103.**
3. **Ngo, Anh, Sanjib, Guha, Pham, Chuong, and Phillip Chung (2022), “CEO Firm-Related Wealth, Managerial Horizon, and Earnings Management,” Volume 33 (3), pp.149-162, *Journal of Corporate Accounting and Finance*.**
4. **Ngo, Anh, Duong, Hong, Nguyen, Liem, and Thanh Nguyen (2020), “The Effects of Ownership Structure on Payout Policy: Evidence from Seasoned Equity Offerings”, *Global Finance Journal*. Volume 44, pp.26-39.**

5. Pham, Chuong., **Ngo, Anh**, Le, Thanh, Nguyen Thanh (2020), “*Corporate Social Responsibility and the Pricing of Seasoned Equity Offerings: Does Executive Firm-Related Wealth Matter?*”, ***The Journal of Asian Finance, Economics, and Business***, Volume 7 (80), pp. 297–308.
6. Guha, Sanjib., Rahim, N., Panigrahi, B., **Ngo, Anh** and D. Simmonds (2020), “*Does Corrupt Act as Deterrent to Foreign Direct Investment in Developing Countries?*”, ***Organizations and Markets in Emerging Economies***, Volume 11 (21), pp. 18-34.
7. Chen, Anthony, **Ngo, Anh**, and Hong Duong (2019), “*Types of Non-audit Service Fees and Earnings Response Coefficients in the Post-Sarbanes-Oxley Era?*”, ***Advances in Accounting Journal***, Volume 44 (C), pp. 132-147.
8. **Ngo, Anh**, Xie, Faith. and Oscar Varela (2019), “*Lines of Credit, Market Timing, and the Underpricing of Seasoned Equity Offerings,*” ***Review of Accounting and Finance Journal***, Volume 18 (1), pp.157-175.
9. Nguyen, Thanh, Nguyen, Liem, **Ngo, Anh**, and Harri Adhikari (2017), “*CEO Optimism and the Credibility of Open-Market Stock Repurchase,*” ***Journal of Behavioral Finance***, Volume 18 (3), pp.1-13.
10. **Ngo, Anh**, Duong, Hong and Anthony Chen (2016), “*The Effects of Covenant Violations on the Underpricing of Seasoned Equity Offerings and the Implied Cost of Equity Capital,*” ***The Quarterly Journal of Finance, Volume 6 (1), pp. 255-289.***
11. Panigrahi, B., Chen, Jim, and **Ngo Anh** (2016), “*African American Undergraduate College Students Perceptions of Online Education Experiences,*” ***The Journal of Business & Economic Policy, Volume 3 (1), pp 1-9.***
12. **Ngo, A. D.**, H. K. Duong, and C. McGowan (2015), “*Industry Peer Effect and the Maturity Structure of Corporate Debt,*” ***Managerial Finance***, Volume 41, Issue 7, pp.714-733.
13. Varela, O., and **Ngo A. D.** (2015), “*Timing the Chinese Market,*” ***The International Journal of Finance***, Volume 27 (2), pp. 211-276.
14. Moussa, Fouad., Nguyen, Hung, and **Anh Ngo** (2013), “*Changing Job: A Real Options Analysis,*” ***The International Journal of Business, Humanities, and Technology***, Volume 3 (3), pp. 43-52.
15. **Ngo, Anh**, and Oscar Varela (2012), “*Earnings Smoothing and the Underpricing of Seasoned Equity Offerings,*” ***Managerial Finance***, Volume 38, Issue 9, pp.833-859.

16. Beckman, J., **Ngo, Anh**, Moussa, Fouad., and Nguyen Liem (2012), “*Institutional Holdings Changes and Corporate Voluntary Disclosure*,” ***Insurance Markets and Companies: Analyses and Actuarial Computations***, Volume 3, Issue 2, pp. 6-17.

Books and Book Reviews

1. Industrial policy formulation in Thailand, Malaysia, and Japan: Lessons for Vietnamese policymakers, Vietnam Development Forum, 2004-2008
2. International Financial Management by Jeff Madura, 11th edition, South-Western Cengage, 2012, (Book Reviewed)

Conference Presentations and Proceedings

1. **Ngo, Anh** (2025), “*Is Firm-Level Political Risk Priced in Seasoned Equity Offers*”
 - The 2025 Southwestern Finance Association Meeting, Feb 12-15, San Antonio, Texas, 2025
2. **Ngo, Anh**, Lewis, Thomas, and Carl McGowan (2024), “*Uncertain tone and SEO Underpricing*,”
 - The 2024 Southwestern Finance Association Meeting, Feb 28-March 1, Las Vegas, NV, 2024
3. Duong, Hong, **Ngo, Anh** and Chuong Le (2024), “*International GAAP Differences, Modified IFRS, and the Role of Big 4 Auditors*”
 - The 2024 American Accounting Association’s International Accounting Section, Midyear Meeting, Atlanta, GA January 25-27, 2024
4. **Ngo, Anh**, Duong, Hong, Eddie Wei (2023), “*The Effects of Corporate Social Responsibility and Financial Constraint on the Debt-Equity Choice*,”
 - The 2023 Southwestern Finance Association Meeting, Houston, March 8-10, 2023
5. **Ngo, Anh**, Guha, Sanjib, Pham, Chuong, and Phillip Chung, “*CEO Firm-Related-Wealth, Managerial Horizon, and Earnings Management*.”
 - The 2021 Southern Finance Association Annual Meeting, Captiva Island, Florida (Nov 17-20, 2021)
6. Duong, Hong., **Ngo, Anh**, Chen, Anthony, and Gotti Gorgio, “*Client Ethics and Audit Pricing*,” The 2021 Spark: Meeting of The Regions, American Accounting Association Meetings.
7. **Ngo, Anh**, Duong, Hong, Eddie Wei, and Thanh Nguyen “*Debt-Equity Financing Choice Under Financial Constraints: Does Corporate Social Responsibility Matter?*”
 - The 2019 American Accounting Association Annual Meeting (San Francisco, CA)

- The 2018 Southern Finance Association Meeting (Asheville, NC)
- 8. **Ngo, Anh**, Varela, Oscar., and Xie Faith “The Effects of Lines of Credit on Timing Equity Offerings.”
 - The 2018 Financial Management Association Meetings (San Diego, CA)
- 9. **Ngo, Anh** and Hong Duong (2017) “*The Effects of Managerial Hedging on the Underpricing of Seasoned Equity Offerings.*”
 - The 2017 International Conference on Economics and Finance Research, Las Vegas
 - The 2016 MMTI Annual International Conference on Global Issues in Business and Information Technology (Virginia Beach, VA)
- 10. **Ngo, Anh** (2016) *Does Ownership Structure Have Any Effects on Payout Policy and SEO Underpricing*
 - The 2016 Academy of Business Research Annual Meeting (New Orleans)
 - The 2016 Washington Business Research Forum (Washington D.C.)
- 11. **Ngo, Anh** (2015), “*Covenant Violations, the Underpricing of SEOs, and the Cost of Equity*”
 - The 2015 Midwest Finance Association Annual Meeting (Chicago)
- 12. **Ngo, Anh**, Duong, Hong and Carl McGowan (2014), “*Industry Peer Effect and the Maturity Structure of Corporate Debt,*”
 - The 2015 Eastern Finance Association Annual Meeting (New Orleans)
 - The 2014 Financial Management Association Annual Meeting (Nashville)
- 13. McGowan, Carl, **Ngo, Anh**, and Hong Duong (2015), “*Teaching Cost-Volume-Profit Using an Excel Spreadsheet Example,*”
 - The 2015 American Accounting Association Annual Meeting (Chicago)
- 14. **Ngo, Anh** (2014), “*The Effects of Covenant Violations on Implied Cost of Equity*”
 - The 2014 Southern Finance Association Annual Meeting (Key West, scheduled)
 - The 2014 Eastern Finance Association Annual Meeting (Pittsburgh)
 - The 2014 Midwest Finance Association Annual Meeting (Orlando)
- 15. **Ngo, Anh** (2012, 2013), “*The Effects of Ownership Structure on Payout Policy: Evidence from Seasoned Equity Offerings*”
 - The 2013 Financial Management Association Annual Meeting (Chicago)
 - The 2012 Midwest Finance Association Annual Meeting (New Orleans)
 - The 2012 Southwestern Finance Association Annual Meeting (New Orleans)

16. Nguyen, Thanh, Nguyen, Liem, and **Anh Ngo** (2013), *CEO Optimism and the Long Term Performance of Stock Repurchasing Firms* (with Thanh Nguyen and Liem Nguyen)
 - The 2013 Southern Finance Association Annual Meeting (Puerto Rico)
17. **Ngo, Anh** (2012, 2013), “*Lines of Credit, Market Timing, and the Underpricing of Seasoned Equity Offerings*”
 - The 2013 Eastern Finance Association Annual Meeting (St. Peter Beach, Florida)
 - The 2013 Southwestern Finance Association Annual Meeting (Albuquerque)
 - The 2012 Financial Management Association Annual Meeting (Atlanta)
18. **Ngo, Anh** and **Oscar Varela** (2011), “*Earnings Smoothing and the Underpricing of Seasoned Equity Offerings*,”
 - The 2011 American Accounting Association Annual Meeting (Denver)
 - The 2011 European Financial Management Annual Meeting (Braga, Portugal)
 - The 2011 Southern Finance Association Annual Meeting (Key West)
19. **Ngo, Anh** (2011), “*Why Do Firms Pay Dividends and Issue Equity Simultaneously: the Role of Dominant Shareholders?*”
 - The 2011 Southern Finance Association Annual Meeting (Key West)
 - The 2011 Academy of Business Research Annual Meeting (New Orleans)
20. **Ngo, Anh** and **O. Varela** (2010), “*Timing the Chinese Market*”
 - The 2010 Shanghai International Financial Center Construction Forum (Shanghai)

Working Papers and Work in Progress

1. **Ngo, Anh**, Duong, Hong, and Zuobao Wei (2022), “Debt-Equity Choice Under Financial Constraints: Does Corporate Social Responsibility Matter?” (Under review)
2. **Ngo, Anh**, “*The Effects of Managerial Hedging on the Underpricing of Seasoned Equity Offerings*”
3. **Ngo, Anh**, Duong, Hong, and Zuobao Wei, “*Debt-Equity Financing Choice Under Financial Constraints: Does Corporate Social Responsibility Matter?*”
4. **Ngo, Anh**, and Thomas Lewis “*The Association between Restatement Characteristics and Audit Quality*”

Professional and Community Services

Norfolk State University (NSU Committees)

- Mentor, the Undergraduate Research and Mentoring Program (2024-2025)
- Committee member, the University-wide Strategic Planning (2023-2024)
- Committee member, the University-wide Research Council (2023-2024)
- Committee member, the University-wide Library Services Committee (2021-2022)
- Committee member, the University-wide International Program Committee (2021-2022)
- The Student Learning Assessment and Quality in Undergraduate Education Task Force (2017)

School of Business (School Committees)

- Strategic Planning Committee (2021-ongoing)
- Assurance of Learning, Curriculum & Assessment (2017-ongoing)
- Research and Grant Writing Committee (2016-2020; 2019-2022)
- Coordinator for the 2019 School of Business's Faculty Research Seminar Series (2019)
- Coordinator for the 2017 School of Business's Faculty Research Seminar Series (2017)
- Coordinator for the 2016 School of Business's Faculty Research Seminar Series (2016)

Professional and Community

- Downtown Norfolk Competitiveness Analysis (Joint Research Project between NSU School of Business and Downtown Norfolk Council), Research Member (2023-2024)
- Financial Management Association (Europe), Program Committee Member, 2024
- Eastern Finance Association (Tampa, Florida), Program Committee Member, 2024
- Southwestern Finance Association (San Antonio, Texas), Program Committee Member, 2025
- Southwestern Finance Association (Las Vegas, Nevada), Program Committee Member, 2024
- Southwestern Finance Association (Houston, Texas), Program Committee Member, 2023
- Southern Finance Association Meeting (Orlando, Florida), Program Committee Member, 2022
- Southern Finance Association Meeting (Orlando, Florida), Program Committee Member, 2021
- Southern Finance Association Meeting (Orlando, Florida), Program Committee Member, 2019
- Southern Finance Association Meeting (Asheville, North Carolina), Program Committee, 2018

- Eastern Finance Association Meeting (New Orleans, Louisiana), Program Committee, 2015
- Southern Finance Association Meeting (Key West, Florida), Session Chair, 2014
- Southern Finance Association Meeting (Charleston, South Carolina), Program Committee Member, 2012
- Midwest Finance Association Meeting (New Orleans, Louisiana), Session Chair, 2012
- International Financial Management by Jeff Madura, 11th edition, South-Western Cengage, 2012, (Book Reviewed)
- Journal of Risk Analysis, Ad-hoc Reviewer
- Managerial Finance Journal, Ad-hoc Reviewer
- European Financial Management, Ad-hoc Reviewer
- Industrial policy formulation in Thailand, Malaysia, and Japan: Lessons for Vietnamese policymakers, Vietnam Development Forum, 2004-2008, (Consulting Experiences)
- Research Fellow, the Asian Development Bank (a multilateral financial institution that promotes economic and social progress in the Asia-Pacific region), 2006-2008
- Researcher, Vietnam Development Forum (a joint research project between the National Economics University and the Graduate Institute for Policy Studies (Japan))
- Curriculum Development Coordinator, Vietnam-Netherlands Higher Education (a US\$7.5 million Dutch Government-funded project for higher education in Vietnam)

References

Available upon request